About this release

This document contains important information about this software release for the Forcepoint NGFW Security Management Center Appliance (SMC Appliance). We strongly recommend that you read the entire document.

The SMC Appliance ships with pre-installed Forcepoint NGFW Security Management Center (SMC) software. The pre-installed SMC includes a Management Server and a Log Server. You can alternatively install the SMC Appliance software on a virtualization platform.

Note: The SMC Appliance does not support high-availability for the Management Server or the Log Server.

Build number and checksums

The build number for SMC 6.5.3 is 10638. This release contains Dynamic Update package 1138. Use the checksums to make sure that the files downloaded correctly.

- 6.5.3U001.sap

SHA1SUM: 
2b53b265c0af6d0f8e6c897c692a17fc94e9139

SHA256SUM: 
9cd377a941f938875ced8b38a6a5f433770eaa3413402ba5a63f16c78b78bb9

SHA512SUM: 
de799dd747a04d12f5a298aa3c3a6599eb
96e40fa466199a94db1277403d2e5167
b65e7cf6c82361c560a15237a234d
f5b5df4be3b904f6d6c476f723d3ad95
System requirements on virtualization platforms

As an alternative to using the SMC Appliance software on the pre-installed Forcepoint appliance, you can install the SMC Appliance software on a virtualization platform.

CAUTION: To protect the privacy of your data, we recommend installing the NGFW Engines, SMC components, or SMC Appliance on a hypervisor that does not host any other virtual machines.

<table>
<thead>
<tr>
<th>Component</th>
<th>Requirement</th>
</tr>
</thead>
<tbody>
<tr>
<td>Hypervisor</td>
<td>VMware ESXi version 6.0 or higher</td>
</tr>
<tr>
<td>Memory</td>
<td>8 GB RAM</td>
</tr>
<tr>
<td>Virtual disk space</td>
<td>120 GB</td>
</tr>
<tr>
<td>Interfaces</td>
<td>At least one network interface</td>
</tr>
</tbody>
</table>

The .iso installation file that is used to install the SMC Appliance software on a virtualization platform is available only for major versions of the SMC Appliance. To install the maintenance version, first install the .iso for the major version, then upgrade to the maintenance version.

Compatibility

SMC 6.5 can manage all compatible Forcepoint NGFW Engine versions up to and including version 6.5.

Important: Some versions of Forcepoint NGFW have reached end-of-life status. Maintenance releases that contain security updates are no longer provided for Forcepoint NGFW versions that have reached end-of-life status. Even though these Forcepoint NGFW versions are compatible with the SMC, we recommend that you use a Long-Term Support version that is still supported. For more information about the Forcepoint NGFW lifecycle policy, see https://support.forcepoint.com/ProductSupportLifeCycle.

SMC 6.5 is compatible with the following component versions.

- Forcepoint™ Next Generation Firewall (Forcepoint NGFW) 6.2 or higher
- Stonesoft® Next Generation Firewall by Forcepoint (Stonesoft NGFW) 6.0 and 6.1
- McAfee® Next Generation Firewall (McAfee NGFW) 5.7, 5.8, 5.9, and 5.10
- Stonesoft Firewall/VPN Express 5.5
- McAfee® ePolicy Orchestrator® (McAfee ePO™) 5.3 or higher
- McAfee® Enterprise Security Manager (McAfee ESM) 9.2.0 or higher (9.1.0 CEF only)
New features

This release of the product includes these new features. For more information and configuration instructions, see the Forcepoint Next Generation Firewall Product Guide and the Forcepoint Next Generation Firewall Installation Guide.

SD-WAN dashboard

The SD-WAN dashboard makes the software-defined wide area network (SD-WAN) features that are already part of Forcepoint NGFW more visible. The SD-WAN dashboard allows you to monitor SD-WAN features, such as outbound Multi-Link and Multi-Link VPNs, and to view statistics and reports related to SD-WAN features.

Application routing

You can now apply different NAT rules to traffic, select which VPN traffic uses, and redirect traffic to different proxy servers depending on the network applications detected in the traffic.

Route metrics, ECMP, and route monitoring

You can now define multiple static routes to the same destination and apply metrics to the routes. The routes with a lower metric value can be used as backup routes. When you enable the equal-cost multi-path (ECMP) feature on the routes, there is a potential increase in bandwidth as traffic is balanced between the routes. In addition, you can use probes to monitor the status of a route. If a route is unavailable, the route is removed from the routing table and traffic is automatically sent over another route.

Enhancements

This release of the product includes these enhancements.

Enhancements in SMC version 6.5.0

<table>
<thead>
<tr>
<th>Enhancement</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>Viewing log data on the SMC Appliance command line</td>
<td>A new option “log-view” for the smca-system command line tool allows you to view the contents of log files in the SMC Appliance log data directory /var/log and in any of its subdirectories.</td>
</tr>
<tr>
<td>Audit data storage</td>
<td>For new installations, audit data is stored on its own partition.</td>
</tr>
<tr>
<td>Integrated User ID Service on NGFW Engines</td>
<td>You can now use the Integrated User ID Service on the NGFW Engines to provide transparent user identification for access control by user. The Integrated User ID Service is primarily meant for demonstration purposes and proof-of-concept testing of user identification services.</td>
</tr>
<tr>
<td>LDAP authentication for administrators</td>
<td>You can now authenticate administrators using simple password authentication against integrated external LDAP databases.</td>
</tr>
</tbody>
</table>
### Enhancements in SMC version 6.5.1

<table>
<thead>
<tr>
<th>Enhancement</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>TLS Profile for connecting to Forcepoint servers</strong></td>
<td>The Management Server now uses a custom TLS Profile element for automatically downloading license updates, dynamic updates, and NGFW Engine upgrades from Forcepoint servers. The TLS Profile element defines the settings for cryptography, trusted certificate authorities, and the TLS version used in TLS-protected traffic.</td>
</tr>
</tbody>
</table>
## Enhancements in SMC version 6.5.2

<table>
<thead>
<tr>
<th>Enhancement</th>
<th>Description</th>
</tr>
</thead>
</table>
| New URLs for dynamic updates and engine upgrades | To improve the performance of automatic dynamic updates and engine upgrades, the following new URLs are available in SMC 6.5.2 and higher:  
  - https://autoupdate.ngfw.forcepoint.com/dynup.rss  
  - https://autoupdate.ngfw.forcepoint.com/ngfw.rss  
  
  **Note:** The SMC automatically starts using the new URLs when you upgrade to SMC 6.5.2 or higher and activate the dynamic update package that includes the new URLs.  
  
  The new URLs use a content distribution network (CDN) to allow the SMC to download dynamic update packages and engine upgrade files from the geographically closest server. The legacy https://update-pool.stonesoft.com/index.rss URL remains available for backward compatibility and as a backup for the new URLs. |
| Configurable update services for dynamic updates and engine upgrades | New Update Service elements define sets of URLs for automatic dynamic updates and engine upgrades. In SMC 6.5.2 and higher, the SMC automatically uses Update Service elements that include both the new URLs and the legacy URL. No action is needed to start using the Update Service elements that include the new URLs.  
  You can optionally change which Update Service element is used for automatic dynamic updates and engine upgrades. For more information, see Knowledge Base article 16589. |
| Enhancements in the User Dashboard | The following enhancements have been made in the User Dashboard:  
  - The user domain is now always shown for users in the User Dashboard.  
  - To prevent information about them from cluttering the User Dashboard statistics, the System and Root users are no longer shown in the User Dashboard statistics.  
  - The endpoint IP address is now always shown for users in the User Dashboard. |
| Alert Policy management in the SMC API | You can now manage Alert Policies using the SMC API. |
| Support for custom fields in CEF log format | You can now configure custom fields when you export or forward logs to an external service in CEF or LEEF formats. |

## Enhancements in SMC version 6.5.3

<table>
<thead>
<tr>
<th>Enhancement</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>Configurable wait time between inspected packets</td>
<td>To optimize latency and CPU utilization, you can now customize how long the inspection process waits for additional packets.</td>
</tr>
</tbody>
</table>
Resolved issues

These issues are resolved in this release of the product. For a list of issues fixed in a previous release, see the Release Notes for the specific release.

<table>
<thead>
<tr>
<th>Description</th>
<th>Issue number</th>
</tr>
</thead>
<tbody>
<tr>
<td>When there is an active User Alert for a user in an administrative Domain, the user is shown in the Users view of the Shared Domain if an administrator has access to the Shared Domain.</td>
<td>SMC-11736</td>
</tr>
<tr>
<td>It is not possible to remove a section from a Logging Profile.</td>
<td>SMC-16197</td>
</tr>
<tr>
<td>When you add a rule to a policy while a rule search is active, the current search position is lost.</td>
<td>SMC-16651</td>
</tr>
<tr>
<td>If you select the Print to PDF Reader option when you print a policy as a PDF, the PDF shows a maximum of 20 elements in each cell.</td>
<td>SMC-16654</td>
</tr>
<tr>
<td>The columns in the rule search do not match the columns in the rules table.</td>
<td>SMC-16972</td>
</tr>
<tr>
<td>When you add a node to a cluster, saving the changes might fail. The following message is shown: &quot;Failed to apply changes&quot;. In addition, when you select Edit Node in the Clustering settings of the Engine Editor, a node is added to the cluster.</td>
<td>SMC-18926</td>
</tr>
<tr>
<td>When you upgrade the SMC, the VPN Profiles that you have selected might revert to the default VPN Profiles that were selected when the VPN was originally created.</td>
<td>SMC-19078</td>
</tr>
<tr>
<td>Creating a Backup Task to back up an SMC server to a local workstation fails. The following message is shown: &quot;Unexpected parameter index&quot;.</td>
<td>SMC-19268</td>
</tr>
<tr>
<td>The Log Server might stop operating correctly if you open a monitoring view, then close it before the entries load.</td>
<td>SMC-19284</td>
</tr>
<tr>
<td>When you execute a large number of tasks using the SMC API, tasks such as policy installation might return an HTTP 404 error response even though the task succeeded.</td>
<td>SMC-19371</td>
</tr>
<tr>
<td>If you have used an element in a temporary filter that is included in a bookmark, you cannot delete the element if the bookmark has been deleted.</td>
<td>SMC-19391</td>
</tr>
<tr>
<td>If audit forwarding or log forwarding uses an imported TLS certificate, it is not possible to change to using an internal certificate.</td>
<td>SMC-19396</td>
</tr>
<tr>
<td>In a policy-based VPN, the VPN Client might not always be shown on the Tunnels tab, even if you select &quot;Only central Gateways from overall topology&quot; or &quot;All Gateways from overall topology&quot; on the Mobile VPN tab.</td>
<td>SMC-19537</td>
</tr>
</tbody>
</table>

Install the SMC Appliance

Use these high-level steps to install the SMC Appliance.

For detailed information about installing the SMC Appliance and the NGFW Engines, see the Forcepoint Next Generation Firewall Installation Guide. All guides are available for download at https://support.forcepoint.com/Documentation.

Steps

1) Turn on the SMC Appliance.
2) Select the keyboard layout for accessing the SMC Appliance on the command line.

3) Accept the EULA.

4) Enter the account name and password. For credential requirements, see the Forcepoint Next Generation Firewall Installation Guide.

5) Make your security selections.

6) Complete the network interface and network setup fields.

7) Enter a host name for the Management Server.

8) Select the time zone.

9) (Optional) Configure NTP settings.

10) After the SMC Appliance has restarted, install the Management Client. You can use Java Webstart or install the Management Client from a file to allow remote access to the SMC. Java Web Start is enabled by default on the Management Server that is pre-installed on the SMC Appliance.


12) Create the NGFW Engine elements, then install and configure the NGFW Engines.

Upgrade the SMC Appliance

Use an upgrade patch to upgrade the SMC Appliance from a previous version to version 6.5.3. There are two kinds of SMC Appliance patches:

- Hotfix patches include improvements and enhancements for the current SMC Appliance version. Hotfix patch files use the letter P as a separator between the version number and the patch number. Example: 6.5.1P001

- Upgrade patches upgrade the SMC Appliance to a new version. Upgrade patch files use the letter U as a separator between the version number and the patch number. Example: 6.5.1U001

We recommend checking the availability of SMC Appliance patches regularly, and installing the patches when they become available. For detailed information about installing SMC Appliance patches, see the Forcepoint Next Generation Firewall Installation Guide.

⚠️ CAUTION: Before upgrading the SMC Appliance from version 6.2.0, install the 6.2.0P001 patch. For more information, see Knowledge Base article 14168.

- SMC 6.5 requires an updated license.
• If the automatic license update function is in use, the license is updated automatically.
• If the automatic license update function is not in use, request a license upgrade on our website at https://stonesoftlicenses.forcepoint.com. Activate the new license using the Management Client before upgrading the software.
• The SMC Appliance must be upgraded before the NGFW Engines are upgraded to the same major version.
• Upgrading is supported from SMC versions 6.2.0 – 6.2.5, 6.3.0 – 6.3.8, 6.4.0 – 6.4.9, and 6.5.0 – 6.5.2.
• If you configured SNMP for the SMC Appliance before upgrading to version 6.4.0 or higher, you must configure SNMP again.

Steps

1) Log on to the SMC Appliance.

2) To check for available upgrade patches, enter the following command:

   `sudo ambr-query -u`

3) To load the patch on the SMC Appliance, enter the following command:

   `sudo ambr-load 6.5.3U001`

   If you downloaded the patch and transferred it to the SMC Appliance, append the load command with the `-f` option and specify the full path to the patch file. Example:

   `sudo ambr-load -f /var/tmp/6.5.3U001.sap`

4) To install the patch on the SMC Appliance, enter the following command:

   `sudo ambr-install 6.5.3U001`

   The installation process prompts you to continue.

5) Enter `Y`.

Result

The installation process restarts the appliance and installs the patch. When the upgrade is finished, the appliance restarts. The appliance is now running SMC Appliance 6.5.3.
Known issues

For a list of known issues in this product release, see Knowledge Base article 16274.

Find product documentation

On the Forcepoint support website, you can find information about a released product, including product documentation, technical articles, and more.

You can get additional information and support for your product on the Forcepoint support website at https://support.forcepoint.com. There, you can access product documentation, Knowledge Base articles, downloads, cases, and contact information.

Product documentation

Every Forcepoint product has a comprehensive set of documentation.

- Forcepoint Next Generation Firewall Product Guide
- Forcepoint Next Generation Firewall online Help

Note: By default, the online Help is used from the Forcepoint help server. If you want to use the online Help from a local machine (for example, an intranet server or your own computer), see Knowledge Base article 10097.

- Forcepoint Next Generation Firewall Installation Guide

Other available documents include:

- Forcepoint Next Generation Firewall Hardware Guide for your model
- Forcepoint NGFW Security Management Center Appliance Hardware Guide
- Forcepoint Next Generation Firewall Quick Start Guide
- Forcepoint NGFW Security Management Center Appliance Quick Start Guide
- Forcepoint NGFW SMC API Reference Guide
- Stonesoft VPN Client User Guide for Windows or Mac
- Stonesoft VPN Client Product Guide