



# **FORCEPOINT**

## **NGFW Security Management Center Appliance**

**Release Notes**

6.3.7

Revision A

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# About this release

This document contains important information about this software release for the Forcepoint NGFW Security Management Center Appliance (SMC Appliance).

We strongly recommend that you read the entire document.

The SMC Appliance ships with pre-installed Forcepoint NGFW Security Management Center (SMC) software. The pre-installed SMC includes a Management Server and a Log Server. You can alternatively install the SMC Appliance software on a virtualization platform.



**Note:** The SMC Appliance does not support high-availability for the Management Server or the Log Server.

# Build version

SMC 6.3.7 build version is 10449.

This release contains Dynamic Update package 1084.

# Product binary checksums

Use the checksums to make sure that the files downloaded correctly.

- 6.3.7U001.sap

```
SHA1SUM:  
ef90ecb2e5b652f83a5ec13d868334c570a05a58  
  
SHA256SUM:  
827e713961a89829284f65406106a4963305c1bc28ff8abae024fe005e3766fc  
  
SHA512SUM:  
13678e943f6b6c652b5ad93522d4109b  
c3395202d22a1cadd021dfe6b11c85b6  
cd69085f62f6b3b98d663b7622ac0eef  
86bbb8eaf6da1e2f7db5213ff84987ac
```

## System requirements on virtualization platforms

We strongly recommend using a pre-installed SMC Appliance as the hardware solution. You can alternatively install the SMC Appliance software on a virtualization platform.

The following requirements apply:

- VMware ESXi version 6.0 or higher as the hypervisor
- 120 GB virtual disk minimum
- 8 GB RAM minimum
- At least one network interface



**Note:** The .iso installation file that is used to install the SMC Appliance software on a virtualization platform is available only for major versions of the SMC Appliance. To install the maintenance version, first install the .iso for the major version, then upgrade to the maintenance version.

## Compatibility

SMC 6.3 is compatible with the following component versions.



**Note:** Some versions of Forcepoint NGFW might have reached end-of-life status. We recommend that you use a Long-Term Support version that is still supported. For more information about the Forcepoint NGFW lifecycle policy, see Knowledge Base article [10192](#).

SMC 6.3 can manage all compatible Forcepoint NGFW engine versions up to and including version 6.3.

- Forcepoint™ Next Generation Firewall (Forcepoint NGFW) 6.2 and 6.3
- Stonesoft® Next Generation Firewall by Forcepoint (Stonesoft NGFW) 6.0 and 6.1
- McAfee® Next Generation Firewall (McAfee NGFW) 5.7, 5.8, 5.9, and 5.10
- Stonesoft Firewall/VPN Express 5.5

- McAfee® ePolicy Orchestrator® (McAfee ePO™) 5.0.1 and 5.1.1
- McAfee® Enterprise Security Manager (McAfee ESM) 9.2.0 and later (9.1.0 CEF only)

## New features

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This release of the product includes these new features. For more information and configuration instructions, see the *Forcepoint Next Generation Firewall Product Guide* and the *Forcepoint Next Generation Firewall Installation Guide*.

### Support for Forcepoint Endpoint Context Agent

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Support for Forcepoint Endpoint Context Agent (ECA) allows you to use endpoint information in the Forcepoint NGFW policy to control access, identify users, and log their actions. ECA is a Windows client application that provides endpoint information to the NGFW Engine. ECA is a replacement for McAfee Endpoint Intelligence Agent (McAfee EIA).



**CAUTION:** If McAfee Endpoint Intelligence Agent (McAfee EIA) is configured on the NGFW Engine when you upgrade to version 6.3 or later, the NGFW Engine node is returned to the initial configuration state and stops processing traffic. You must remove the McAfee Endpoint Intelligence Agent (McAfee EIA) configuration and refresh the policy before you upgrade to version 6.3 or later. For more information, see Knowledge Base article [14093](#).

### Multi-Layer Deployment for NGFW Engines in the Firewall/VPN role

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Multi-layer deployment is now supported for NGFW Engines in the Firewall/VPN role. In multi-layer deployment, NGFW Engines in the Firewall/VPN role have both layer 2 physical interfaces and layer 3 physical interfaces. The same NGFW Engine can now provide the features of the Firewall/VPN role, as well as the inspection features of the IPS and Layer 2 Firewall roles.

### Route-based VPN improvements

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The user interface for configuring a route-based VPN has been improved. Instead of configuring a single Route-Based VPN element, you can create individual Route-Based VPN Tunnel elements. The route-based VPN tunnels can be used in Administrative Domains other than the Shared Domain.

### Improvements in Forcepoint Advanced Malware Detection

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In addition to the cloud sandbox, Forcepoint Advanced Malware Detection now also supports on-premises local sandboxes. Other improvements include the following:

- The NGFW Engine can now delay file transfers until the results of the sandbox scan are received.
- The NGFW Engine now separately requests a file reputation for each file in .zip archives.
- The reporting tools in the external portal have been improved, and it is easier to access reports in the external portal from the Management Client.

## NGFW on Azure and Hyper-V

You can now deploy NGFW Engines in the Microsoft Azure cloud to provide VPN connectivity, access control, and inspection for services in the Microsoft Azure cloud. The Microsoft Hyper-V virtualization platform on Windows 2012 and 2016 servers is now also supported for NGFW deployment on a virtualization platform in a private cloud. Only NGFW Engines in the Firewall/VPN role are supported in the Microsoft Azure cloud and on the Microsoft Hyper-V virtualization platform.

## Support for Forcepoint User ID Service

Forcepoint User ID Service collects information about users, groups, and IP addresses from Windows Active Directory (AD) servers and AD domains. You can use the information from the Forcepoint User ID Service in the Forcepoint NGFW policy to identify users and control access.

## Support for HTTPS in Sidewinder HTTP Proxy

The Sidewinder HTTP Proxy can now provide decryption, inspection, protocol validation, certificate validation, and certificate revocation checking for the HTTPS protocol.

# Enhancements

This release of the product includes these enhancements.

## Enhancements in SMC version 6.3.0

Enhancement	Description
New commands for SMC Appliance	The following new subcommands of the <code>smca-system</code> command have been added: <ul style="list-style-type: none"> <li><code>smca-system serial-number</code> — Shows the hardware serial number for the SMC Appliance.</li> <li><code>smca-system fingerprint</code> — Shows the fingerprint for the CA used by the Management Client.</li> </ul>
Second interface on the SMC Appliance	You can now configure a second interface on the SMC Appliance when you install the appliance.
Support for serial console connections on the SMC Appliance	You can now connect to the SMC Appliance using a serial console connection, or make outbound serial console connections from the SMC Appliance to other devices, such as Forcepoint NGFW appliances.
Rate limit per Virtual NGFW Engine for traffic from the Master NGFW Engine	You can now set a rate limit per Virtual NGFW Engine for traffic from the Master NGFW Engine to the Virtual NGFW Engine. When the limit is set, a single Virtual NGFW Engine that is under very heavy load cannot disrupt the operation of the other Virtual NGFW Engines that are hosted by the Master NGFW Engine.
Dedicated control plane operation	You can now dedicate a specified number of CPUs to control plane operations. Even under very heavy loads, you can continue to manage NGFW Engines and refresh policies, and the status of the NGFW Engines remains green in the Home view.

Enhancement	Description
Changes related to certificates	<p>The NGFW Engine can now validate certificates and check the certificate revocation status for features that have certificate validation and certificate revocation checks enabled, such as features that use a TLS Profile in the configuration.</p> <p>Except for VPN certificates, most elements related to certificates are now found in the <b>Administration &gt; Certificates</b> branch of the <b>Configuration</b> view.</p> <p>There is no longer a separate Pending Certificate Request element. Certificate requests are now created as TLS Credentials elements. The state of the TLS Credentials element indicates whether is it a signed certificate or a certificate request.</p>
Limit for half-open TCP connections	<p>As part of the SYN flood protection feature, you can now set a limit for the number of half-open TCP connections. When the limit is reached, SYN flood protection is enabled.</p>
Improvements to SSM architecture	<p>Improvements to SSM integration remove some previous limitations on inspection when Sidewinder Proxies are used. These former limitations include matching traffic based on Network Applications, file filtering, and URL filtering. New Combined Protocol elements allow you to apply a standard Protocol element and a Sidewinder Proxy Protocol element to the same traffic.</p>
New commands for managing NGFW Engines and NGFW appliances	<p>It is now possible to power off an NGFW Engine remotely through the Management Client. In addition, you can now also reset an NGFW appliance to factory settings through the Management Client. To increase security, you can set how many times you want the stored data on the file system of the NGFW appliance to be overwritten.</p>
Task for validating policies	<p>There is a new task for validating policies. The Validate Policy task allows you to validate the policy installed on NGFW Engines or Master NGFW Engines or the Alert Policy installed in an administrative Domain. You can run the Validate Policy task either manually or according to a schedule.</p>
Updated product names	<p>The NGFW product names have been updated. Stonesoft Management Center is now called Forcepoint NGFW Security Management Center (SMC), and Stonesoft Next Generation Firewall (Stonesoft NGFW) is now called Forcepoint Next Generation Firewall (Forcepoint NGFW). The new product names are used in the SMC installer, the SMC installation directory, in the Management Client, and in the list of services in the Windows Control Panel.</p>
Improvements in change approval process	<p>It is now possible to give individual administrators permission to approve changes. Previously, only administrators with unrestricted permissions (superusers) could approve changes. You can also specify whether administrators are allowed to approve their own changes.</p>
Home page improvements in the Management Client	<p>You can now easily customize the home page for components in the Home view. You can use drag-and-drop to re-organize the panes and select new panes from a predefined selection of panes to replace existing panes on the home page. You can now include statistics in home pages. The Management Server and the Log Server now have their own home page in the Home view.</p>

## Enhancements in SMC version 6.3.2

Enhancement	Description
Java cryptography extension included for Webstart clients	The Java jurisdiction policy files that are required for Webstart Management Clients to connect to Management Servers that use 256-bit encryption are now included. Java version 1.8.0_151 is required on the computer where you use the Webstart Management Client. For more information, see Knowledge Base article <a href="#">10136</a> .
SMC API enhancements	<ul style="list-style-type: none"> <li>You can use SMC API read-only queries on a standby Management Server in a high availability setup.</li> <li>You can use SMC API queries to view the history information of an element.</li> <li>You can use the WebSocket protocol to view active alerts for an element.</li> </ul>

## Enhancements in SMC version 6.3.4

Enhancement	Description
TLS decryption is more visible in the Logs view	TLS traffic that is decrypted is shown in the TLS Decrypted and TLS Detected log fields in the Logs view.

## Enhancements in SMC version 6.3.5

Enhancement	Description
Improved sorting of Network elements	When you sort Network elements by IP address, the elements are sorted first by IP address, then by netmask.
License reporting tool	There is a new tool for MSSP customers that exports all data related to licenses and NGFW Engines to a CSV file.

## Enhancements in SMC version 6.3.6

Enhancement	Description
Delete VPN SA monitoring entries using WebSocket API	In addition to acknowledging alerts and deleting blacklist entries, it is now possible to delete entries from the VPN SA Monitoring view using the WebSocket API. For more information about the WebSocket API, see Knowledge Base article <a href="#">15540</a> .

## Resolved issues

These issues are resolved in this release of the product. For a list of issues fixed in a previous release, see the Release Notes for the specific release.

Description	Issue number
Searching for ANY in the Service cell of rules does not work as expected.	SMC-9408

Description	Issue number
In an environment where there are multiple Log Servers for high availability, a large number of NGFW Engines, and frequent policy uploads, there might be replication issues in event correlation if a Log Server temporarily becomes unavailable.	SMC-12664
Creating a policy-based VPN where all central gateways have dynamic IP addresses and use a DNS name as the Phase-1 ID might fail. The following message is shown: "Endpoint with dynamic IP addresses in the Endpoint A<-> Endpoint B tunnel cannot have the same Phase1-ID (DNS : <name>)".	SMC-13192
When you are modifying the comment for an Access rule in a policy, the Comment field might unexpectedly close, removing any changes that you have made. This issue can be caused by, for example, another administrator opening another policy for editing.	SMC-13600
When the final action in an Alert Chain is None, and there are several matching rules in the Alert Policy, processing of the Alert Policy does not stop at the first matching Alert Chain. Alerts might match several rules, and several notifications might be sent for the same alert.	SMC-13755
The progress tab for Delete Log Tasks shows the number of logs that have been deleted. The number that is shown might be higher than the actual number of logs that have been deleted.	SMC-13766
It is not possible to modify Active Directory Server elements that have Domain Controllers configured using the SMC API.	SMC-13767
When you configure a GRE tunnel in a route-based VPN, the default contact address for the interface is always used for the endpoints.	SMC-13778
When several Management Clients connect to the Management Server at the same time, all of the new connections might fail. For example, after the Management Server restarts, several administrators connecting at the same time might block access to the Management Server.	SMC-13863
When a rule matches a rule search, the rule is highlighted. After some time, the highlight disappears.	SMC-13864
Creating Virtual Firewall elements using the SMC API fails if a value is defined for the "Additional Networks to Automatically Add to Antispoofing" option in the Dynamic Routing settings.	SMC-13972
If you refresh the policy on an NGFW Engine in the Home view, the Management Client might freeze.	SMC-14022
In the Routing tree, you cannot add a host to an OSPF area. The following message is shown: "In the Dynamic Routing configuration, OSPFv2 Area <area> should only have a Host defined in Unicast Communication Mode."	SMC-14036
If you edit a policy both in the Management Client and in the SMC API, this might lead to a situation where you cannot open the policy for editing. The following message is shown: "Attribute reading failed".	SMC-14076
When you change the type of the internal certificate authority in an environment with multiple Management Servers, the recertification process might not be able to generate new certificates for the additional Management Servers.	SMC-14299
Installing a policy on multiple NGFW Engines at the same time takes longer than expected.	SMC-14396
A duplicate name warning is incorrectly shown when you open a rule name for editing, then click OK to close the dialog box.	SMC-14438
When you search for elements, "Wait..." is shown at the bottom of the search results even though the search is finished and all results are shown.	SMC-14476



Description	Issue number
You cannot use the SMC API to edit permissions for NGFW Engine elements to define the allowed policies.	SMC-14534

## Installation instructions

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Use these high-level steps to install the SMC Appliance.

For detailed information about installing the SMC Appliance and the NGFW Engines, see the *Forcepoint Next Generation Firewall Installation Guide*. All guides are available for download at <https://support.forcepoint.com>.

### Steps

- 1) Turn on the SMC Appliance.
- 2) Select the keyboard layout for accessing the SMC Appliance on the command line.
- 3) Accept the EULA.
- 4) Enter the account name and password.  
For credential requirements, see the *Forcepoint Next Generation Firewall Installation Guide*.
- 5) Make your security selections.
- 6) Complete the network interface and network setup fields.
- 7) Enter a host name for the Management Server.
- 8) Select the time zone.
- 9) (Optional) Configure NTP settings.
- 10) After the SMC Appliance has restarted, install the Management Client.  
You can use Java Webstart or install the Management Client from a file to allow remote access to the SMC. Java Web Start is enabled by default on the Management Server that is pre-installed on the SMC Appliance.
- 11) Import the licenses for all components.  
You can generate licenses at <https://stonesoftlicenses.forcepoint.com>.
- 12) Create the NGFW Engines elements, then install and configure the NGFW Engines.

# Upgrade the SMC Appliance

Upgrade the SMC Appliance from a previous version to version 6.3.7.



**CAUTION:** Before upgrading the SMC Appliance from version 6.2.0, install the 6.2.0P001 patch. For more information, see Knowledge Base article [14168](#).

- SMC 6.3 requires an updated license.
  - If the automatic license update function is in use, the license is updated automatically.
  - If the automatic license update function is not in use, request a license upgrade on our website at <https://stonesoftlicenses.forcepoint.com>. Activate the new license using the Management Client before upgrading the software.
- The SMC Appliance must be upgraded before the engines are upgraded to the same major version.
- Upgrading is supported from SMC Appliance versions 6.2.0 – 6.3.6.

## Steps

- 1) Log on to the SMC Appliance.
- 2) To check for available patches, enter the following command:

```
sudo ambr-query
```

- 3) To load the patch on the SMC Appliance, enter the following command:

```
sudo ambr-load 6.3.7U001
```

If you downloaded the patch and transferred it to the SMC Appliance, append the load command with the `-f` option and specify the full path to the patch file. Example:

```
sudo ambr-load -f /var/tmp/6.3.7U001.sap
```

- 4) To install the patch on the SMC Appliance, enter the following command:

```
sudo ambr-install 6.3.7U001
```

The installation process prompts you to continue.

- 5) Enter `Y`.

## Result

The installation process restarts the appliance and installs the patch. When the upgrade is finished, the appliance restarts. The appliance is now running SMC Appliance 6.3.7.

## Installing SMC Appliance patches

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We recommend checking the availability of SMC Appliance patches regularly, and installing the patches when they become available.

The SMC Appliance patches can include improvements and enhancements to the SMC software, the operating system, or the SMC Appliance hardware.

For detailed information about installing SMC Appliance patches, see the *Forcepoint Next Generation Firewall Installation Guide*. All guides are available for download at <https://support.forcepoint.com>.

## Known issues

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For a list of known issues in this product release, see Knowledge Base article [14117](#).

## Find product documentation

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On the Forcepoint support website, you can find information about a released product, including product documentation, technical articles, and more.

You can get additional information and support for your product on the Forcepoint support website at <https://support.forcepoint.com>. There, you can access product documentation, Knowledge Base articles, downloads, cases, and contact information.

## Product documentation

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Every Forcepoint product has a comprehensive set of documentation.

- *Forcepoint Next Generation Firewall Product Guide*
- Forcepoint Next Generation Firewall online Help



**Note:** By default, the online Help is used from the Forcepoint help server. If you want to use the online Help from a local machine (for example, an intranet server or your own computer), see Knowledge Base article [10097](#).

- *Forcepoint Next Generation Firewall Installation Guide*

Other available documents include:

- *Forcepoint Next Generation Firewall Hardware Guide* for your model
- *Forcepoint NGFW Security Management Center Appliance Hardware Guide*
- *Forcepoint Next Generation Firewall Quick Start Guide*
- *Forcepoint NGFW Security Management Center Appliance Quick Start Guide*
- *Forcepoint NGFW SMC API Reference Guide*
- *Stonesoft VPN Client User Guide* for Windows or Mac
- *Stonesoft VPN Client Product Guide*

