# Forcepoint

# NGFW Security Management Center

6.11.0

**Release Notes** 

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## **About this release**

This document contains important information about this release of Forcepoint NGFW Security Management Center (SMC). We strongly recommend that you read the entire document.

For detailed information about changes introduced in the SMC API since the previous version, see the automatically generated change log reports in the <a href="mailto:api\_change\_log.zip">api\_change\_log.zip</a> file in the <a href="mailto:Documentation/SMC\_API">Documentation/SMC\_API</a> folder of the SMC installation files.

# System requirements

To use this product, your system must meet these basic hardware and software requirements.

### **SMC** hardware requirements

You can install the SMC on standard hardware.

| Component  | Requirement  |
|------------|--|
| CPU        | Intel® Core™ family processor or higher recommended, or equivalent on a non-Intel platform |
| Disk space | <ul><li>Management Server: 6 GB</li><li>Log Server: 50 GB</li></ul>                        |

| Component                           | Requirement  |
|-------------------------------------|--|
| Memory                              | <ul> <li>Management Server, Log Server, Web Portal Server: 16 GB RAM</li> <li>If all SMC servers are on the same computer: 32 GB RAM</li> <li>If you use the SMC Web Access feature: an additional 2 GB RAM per administrator session</li> <li>Management Client: 2 GB RAM</li> <li>The SMC server requirements are the <i>minimum</i> requirements. The Management Server and Log Server in particular benefit from having more than the minimum amount of RAM.</li> <li>On high-end appliances that have a lot of RAM, the SMC might not provision the maximum amount of RAM for use by the SMC servers. For information about how to manually modify the provisioning, see .</li> </ul> |
| Management<br>Client<br>peripherals | <ul><li>A mouse or pointing device</li><li>SVGA (1280x768) display or higher</li></ul>   |



### **CAUTION**

To protect the privacy of your data, we recommend using dedicated hardware for all NGFW, SMC, and SMC Appliance installations. For cloud-based virtualization platforms, use an instance type that runs on dedicated hardware. For on-premises virtualization platforms, install the NGFW Engines, SMC components, or SMC Appliance on a hypervisor that does not host any other virtual machines. For third-party hardware, do not install any other software on the computer where you install the NGFW Engines or SMC components.

### Operating systems

You can install the SMC on the following operating systems. Only 64-bit operating systems are supported.

| Linux   | Microsoft Windows  |
|---|--|
| <ul> <li>Red Hat Enterprise Linux 7 and 8</li> <li>SUSE Linux Enterprise 12 and 15</li> <li>Ubuntu 18.04 LTS and 20.04 LTS</li> </ul> | Standard and Datacenter editions of the following Windows Server versions:  Windows Server 2019  Windows Server 2016  Windows Server 2012 R2  On Windows 10, you can install the SMC in demo mode. You can also install the Management Client. |

We recommend that you only use operating system versions that are currently supported by the vendor.

Other versions of the listed operating systems might be compatible, but have not been tested. Only U.S. English language versions of the listed operating systems have been tested, but other locales might also be compatible.

### **Build number and checksums**

The build number for SMC 6.11.0 is 11212. This release contains Dynamic Update package 1432. Use checksums to make sure that files downloaded correctly.

smc\_6.11.0\_11212.zip

SHA1SUM: 973ab476aea3e79cf9c29bba230286d59e6eb22a SHA256SUM: 4775352177cf1bfd093ad6e8d092b6a223a92b17d60b47458ecb121674412d57 SHA512SUM: c0adb98971443ff368665a8ef89ba917 98b59f53ba362a6702324bc2e1bcaef7 57e4dd318a7d46bce57e870e8cc4ecd1 54dfda349d1314c0fdec43d8df06b30f

smc\_6.11.0\_11212\_linux.zip

SHA1SUM:
a6b2c57726e52c4efc09f580b5df592929ecbbb5

SHA256SUM:
3a01b758a0a938544fdc95d7514d31ce5935fbb94267ffe86cd88b45e5d43830

SHA512SUM:
51a0a67b5e68c12f8126612a128f1878
21c13e349df606313bff61f053aacbdc
e8c2800a8d273faaaa76d819b93be21a
26851e6cd7b530e3a696523c37853289

smc\_6.11.0\_11212\_windows.zip

SHA1SUM:
ea4699f4812c9c0fea0d1625fa3f2372a4f1c5c0

SHA256SUM:
e12095324cc38dd8c6024a902f614c8ef06889ca3d18c30ecc242db11442e1da

SHA512SUM:
fa9d85b906ba2543d40efe76a60fd196
0356dd1d882270cb8f28b031f4b64a97
cd2dfc664bc8bff2848caae119301f55
6182b2ff9aa711267176c17862d4a065

# Compatibility

SMC 6.11 can manage all compatible Forcepoint NGFW Engine versions up to and including version 6.11.



### **Important**

Some versions of Forcepoint NGFW have reached end-of-life status and no longer receive maintenance releases that contain security updates. Even though these Forcepoint NGFW versions might be compatible with the SMC, we recommend that you use a Long-Term Support version that is still supported. For more information about the Forcepoint NGFW lifecycle policy, see <a href="https://support.forcepoint.com/ProductSupportLifeCycle">https://support.forcepoint.com/ProductSupportLifeCycle</a>.

SMC 6.11 is compatible with the following component versions.

- Forcepoint Next Generation Firewall (Forcepoint NGFW) 6.4 or higher
- McAfee Enterprise Security Manager (McAfee ESM) 11.1.x or higher



#### Note

SA-per-host switch in the IPsec VPN configuration is deprecated and will not be available from the GUI for new configurations by default in 6.11 and later versions of SMC. This option is not needed for standard VPN use cases, but can be re-enabled via a parameter in the SGConfiguration.txt if required for troubleshooting or testing purposes.

### **New features**

This release of the product includes these new features. For more information and configuration instructions, see the Forcepoint Next Generation Firewall Product Guide and the Forcepoint Next Generation Firewall Installation Guide.

# External CA issued certificates in internal management communication

When you install a new SMC, you can now use certificates issued by an external CA instead of certificates generated by the internal CA on the Management Server for internal TLS communication between NGFW Engines and SMC components.

### Run-time selection of FIPS module

In the NGFW Configuration Wizard, you can now select which FIPS module is used when the NGFW Engine is in FIPS-compatible operating mode. You can select whether to use the FIPS 140-2 module or the updated FIPS 140-3 module.

### Move Quagga to Free Range Routing (FRR)

The dynamic routing features in the NGFW Engine that previously used the Quagga dynamic routing suite now uses the Free Range Routing (FRR) dynamic routing suite. The Free Range Routing (FRR) is a general purpose routing stack applicable to a wide variety of use cases including connecting hosts, virtual machines, and containers to the network, advertising network services, LAN switching and routing, internet access routers, and Internet peering.

### **Support for TLS 1.3**

In addition to the previously supported TLS versions, the NGFW Engine now supports TLS inspection for TLS version 1.3 without downgrading the inspected connections to TLS version 1.2.

### **IPv6 - IPv4 Translation Support**

The NGFW Engine now has basic support for IPv6 transition mechanisms. IPv6 transition mechanisms enable limited communication between devices that have only IPv6 addresses and devices that have only IPv4 address. Supported translations modes are NAT64, 464XLAT, and SIIT EAM.

### **Upcoming events notification**

The upcoming events feature informs users about events that are going to happen soon, such as expiration of licenses and certificates, and failures of scheduled tasks, that require administrator action.

# Support TLS server certificate verification before decryption decision

The NGFW Engine now fetches TLS server certificate for verification from destination TLS server with separate probe connection so that it can make a more accurate decision about whether to decrypt TLS connection before the original client to server connection is established.

### Status history reporting

The status history provides historical data for monitoring and reporting on NGFW Engines, Netlinks, and SD-WAN branch or tunnel statuses over time. New status history views help to visualize past changes in the system status and the traffic, connection volumes, and ISP link quality over time. Status monitoring enhancements improve the existing monitoring of SD-WAN branches and VPN tunnels as well as NGFW Engine and Netlink performance history.

### Local alternative policies

A local alternative policy can now be defined that can be uploaded but not activated on the NGFW Engine during policy installation. If connectivity between the NGFW Engine and the Management Server is lost, any policy can be selected whether it is a normal policy or one of the local alternative policies.

### Deep inspection throughput improved

NGFW detaches deep inspection when it is not needed to improve throughput performance and appliance capacity. This can improve performance for example with encrypted traffic that is not decrypted (e.g. QUIC, SSH, TLS), application identification when further inspection is not needed, and with big file or UDP data steams where NGFW deep inspection is not providing added value.

## **Enhancements**

This release of the product includes these enhancements.

### **Enhancements in SMC version 6.11**

| Enhancement  | Description  |
|--|--|
| Performance improvements for large environments      | Several performance improvements for policy upload, move to domain, and background validation operations are done for large environments with multiple firewalls.  |
| Better feature coverage via common properties dialog | There is now better coverage of the features and options, as well as possibility to override existing values using this dialog.  |
| Option to disable sending active alerts              | It is now possible to disable forwarding active alerts from Log Server to the Management Server (and standby servers in the SMC high availability setup).  |
|  | To disable sending active alerts on the SMC Log Server add the following line to the <pre><installation folder="">/data/LogServerConfiguration.txt file:</installation></pre>  |
|  | IGNORE_ACTIVE_ALERT_SENDING=true   |
| Explicit log out message                             | To meet SRG-APP-000297-NDM-000281, SRG-APP-000297AU-000570, and APSC-DV-000100 requirements, the SMC user interface should present explicit log out confirmation dialog to confirm the session closure before the user interface closes fully.   |
| SMC backup with                                      | It is now possible to use the UI, SMC_API, and CLI to:   |
| scheduled task with custom path                      | <ul><li>define a custom path for saving the backup (path for CLI or server_target_path for SMC API)</li></ul>  |
|  | <ul> <li>define a custom script to be executed at the end of a backup task (script for CLI or<br/>script_to_execute for SMC API)</li> </ul>  |
|  | The custom script must be present in the SG_HOME/data/script path. If error is detected during the execution of the script, an error file will be populated with the root cause of the failure, otherwise the script result file will be populated with the status OK in the location where the script is run.   |
| Elasticsearch Kibana<br>reporting                    | SMC version 6.11 adds new fields to the Elasticsearch (ES) cluster integration which offers better support to 3rd party log visualization tools like Kibana with the NGFW log data. Kibana provides log data visualization in the web browser and can combine data from multiple sources. This release also supports the Elastic Common Schema (ECS) for log data. For more information, see https://www.elastic.co/kibana, https://www.elastic.co/guide/en/ecs/current, and https://www.opensearch.org. |



### Note

The SMC integrated incident management feature will be discontinued along with the release of the next major version. SMC version 6.11.0 will be the last version that can be used with the existing incident records remaining on the SMC server before upgrade.

### Resolved and known issues

For a list of resolved and known issues in this product release, see Knowledge Base article 39146.

# **Installation instructions**

Use these high-level steps to install the SMC and the Forcepoint NGFW Engines.

For detailed information, see the *Forcepoint Next Generation Firewall Installation Guide*. All guides are available for download at https://support.forcepoint.com/Documentation.

### **Steps**

- Install the Management Server, the Log Servers, and optionally the Web Portal Servers.
- Import the licenses for all components.
   You can generate licenses at https://stonesoftlicenses.forcepoint.com.
- Configure the Firewall, IPS, or Layer 2 Firewall elements in the Management Client from the Configuration view.
- 4) To generate initial configurations, right-click each NGFW Engine, then select Configuration > Save Initial Configuration.
  - Make a note of the one-time password.
- 5) Make the initial connection from the NGFW Engines to the Management Server, then enter the one-time password.
- Create and upload a policy on the NGFW Engines in the Management Client.

# **Upgrade instructions**

Take the following into consideration before upgrading the SMC.



### Note

The SMC (Management Server, Log Server, and Web Portal Server) must be upgraded before the NGFW Engines are upgraded to the same major version.

- SMC 6.11 requires an updated license.
  - If the automatic license update function is in use, the license is updated automatically.
  - If the automatic license update function is not in use, request a license upgrade on our website at https://stonesoftlicenses.forcepoint.com. Activate the new license in the Management Client before upgrading the software.

- To upgrade a lower version of the SMC to 6.11, we strongly recommend that you stop all SMC servers and create a backup before continuing with the upgrade. After creating the backup, run the appropriate setup file, depending on the operating system. The installation program detects the old version and does the upgrade automatically.
- When you upgrade the SMC, the dynamic update package that is included with the SMC installer is imported and activated. However, if a newer version of the dynamic update package has previously been imported or downloaded before the upgrade, the newest version is activated instead.
- You can upgrade from the following SMC versions:
  - 5.6.2 6.4.10
  - $\bullet$  6.5.0 6.5.18
  - 6.6.0 **-** 6.6.5
  - **6.7.0 6.7.5**
  - 6.8.0 6.8.11
  - $\bullet$  6.9.0 6.9.3
  - 6.10.0 6.10.3
  - **6.10.5**
- Before upgrading, make sure that you have removed all elements related to McAfee Endpoint Intelligence Agent (McAfee EIA). Also remove all references in Access rules.



#### Note

All documentation for SMC for 6.11 and later versions will have the terms black list and white list deprecated.

# Find product documentation

In the Forcepoint Customer Hub, you can find information about a released product, including product documentation, technical articles, and more.

You can get additional information and support for your product in the Forcepoint Customer Hub at <a href="https://support.forcepoint.com">https://support.forcepoint.com</a>. There, you can access product documentation, release notes, Knowledge Base articles, downloads, cases, and contact information.

You might need to log on to access the Forcepoint Customer Hub. If you do not yet have credentials, create a customer account. See https://support.forcepoint.com/CreateAccount.

### **Product documentation**

Every Forcepoint product has a comprehensive set of documentation.

- Forcepoint Next Generation Firewall Product Guide
- Forcepoint Next Generation Firewall online Help



### Note

By default, the online Help is used from the Forcepoint help server. If you want to use the online Help from a local machine (for example, an intranet server or your own computer), see Knowledge Base article 10097.

Forcepoint Next Generation Firewall Installation Guide

Other available documents include:

- Forcepoint Next Generation Firewall Hardware Guide for your model
- Forcepoint NGFW Security Management Center Appliance Hardware Guide
- Forcepoint Next Generation Firewall Quick Start Guide
- Forcepoint NGFW Security Management Center Appliance Quick Start Guide
- Forcepoint NGFW SMC API User Guide
- Forcepoint VPN Client User Guide for Windows or Mac
- Forcepoint VPN Client Product Guide
- Forcepoint NGFW Manager and VPN Broker Product Guide